

# United States District Court

WESTERN

DISTRICT OF

PENNSYLVANIA

UNITED STATES OF AMERICA

## CRIMINAL COMPLAINT

V.

CASE NUMBER:

PNC ICLC CORP.

(Name and Address of Defendant)

I, the undersigned complainant being duly sworn state the following is true and correct to the best of my knowledge and belief. In or around May 2001 and continuing thereafter until on or about January 29, 2002 in Allegheny county, in the Western District of Pennsylvania defendant(s) did, knowingly, intentionally and unlawfully conspire, with others known and unknown, to violate the Federal securities laws, that is, Title 15 United States Code, Sections 78j and, 78ff(a), Title 17, Code of Federal Regulations, Section 240.10b-5, all in violation of Title 18, United States Code, Section 371.

I further state that I am a Special Agent of the FBI Official Title and that this complaint is based on the following

facts:

See attached Affidavit and incorporated Appendix.

Continued on the attached sheet and made a part hereof: ☒ Yes ☐ No

\_\_\_\_\_  
Signature of Complainant

Sworn to before me and subscribed in my presence,

\_\_\_\_\_  
Date

at Pittsburgh, Pennsylvania  
City and State

AMY REYNOLDS HAY, U.S. Magistrate Judge  
Name and Title of Judicial Officer

\_\_\_\_\_  
Signature of Judicial Officer